



Heartland Chapter

# 2018 Annual Fraud Training Seminar

## May 14 & 15, 2018

Scott Conference Center, 6450 Pine St., Omaha, Neb.

**16 hours CPE** including: Specialized Knowledge in Investigation Techniques – 6 hours, Computer Science/Investigative Techniques – 4 hours, Ethics – 3 hours, Legal – 2 hours & Auditing – 1 hour

### MAY 14

#### **Thomas A. Caulfield, CIG, CIGI, CFE**

Chief Operating Officer of Procurement  
Integrity Consulting Services

Specialized Knowledge in Investigation Techniques – 6 CPEs, Ethics – 2 CPEs

#### **Investigating Conflicts of Interest**

- Implement investigative techniques for conflict of interest engagements
- Conduct the flow of conflict of interest investigations
- Deal with witnesses and the legal implications of these unique investigations
- Prepare witness statements, documents and electronic evidence
- Obtain appropriate evidence and preserve it to build a conflict of interest case

### MAY 15 – Morning Presentation

#### **David P. Weber**

Academic Director of the University of Maryland Robert H. Smith School of Business graduate certification programs in Fraud Management and Anti-Money Laundering Management  
Ethics – 1 CPE, Auditing – 1 CPE, Legal – 2 CPEs

#### **A Prime Minister's Guide to Money Laundering: The Panama Papers and its application to CFEs and Accounting Professionals**

- FinCEN's response to the Panama and Paradise Papers and the related implications
- Professional Regulatory Authority responses to the Paradise Papers
- Industry reaction to the Panama and Paradise Papers
- What private-sector fraud, risk and audit professionals must know about the Panama and Paradise Papers right away
- What bank examiners and government investigators must take from the scandal

### MAY 15 – Afternoon Presentation

#### **Amber Schroeder**

President & CEO of Paraben Corporation  
Computer Science/Investigative Techniques – 4 CPEs

#### **Mobile Device Forensics Start to Finish**

- Proper seizure of mobile devices to maximize data retention
- Understanding of the terminology with imaging options for these devices
- Review of popular data storage from the device, App, and Cloud

### **Registration Fee**

- **\$230** Heartland Chapter Members
  - **\$340** Non-Heartland Chapter Members
  - **\$145** Student/Retired/Unemployed Chapter Members
  - **\$170** One-day attendance for Heartland Chapter Members
  - **\$245** One-day attendance for Non-Members
- Register 5 Members and the 6<sup>th</sup> is free!**

Please register [online](#). You may pay online or mail a check to the address below.  
Registration deadline is May 7, 2018.

Group registrations can be done online by adding multiple tickets to your order.  
Questions? Contact [treasurer@heartlandacfe.com](mailto:treasurer@heartlandacfe.com).

Heartland ACFE Chapter • P.O. Box 460726 • Papillion, NE 68046

## 2018 Annual Fraud Training Seminar Heartland Chapter – ACFE

May 14 & 15, 2018

### MONDAY, MAY 14

Speaker: **Thomas A. Caulfield**  
7:30-7:55 Registration. Continental breakfast  
8:00-9:20 Introduction and Legal Elements of  
Conflicts of Interest  
9:20-9:35 *Break*  
9:35-10:55 Typical Conflict of Interest Situations  
10:55-11:10 *Break*  
11:10-12:30 Investigation Methodology  
12:30-1:30 *Lunch Provided*  
1:30-2:50 Document Collection, Organization and  
Analysis  
2:50-3:05 *Break*  
3:05-4:25 Civil Actions and Civil Litigation  
4:25-4:45 Seminar Closing Remarks

### TUESDAY, MAY 15

Speaker: **David P. Weber**  
7:30-7:55 Registration. Continental breakfast  
8:00-10:40 FinCEN's Response to the Panama and  
Paradise Papers  
10:40-10:55 *Break*  
10:55-12:15 Enhanced Due Diligence (EDD)  
12:30-1:30 Lunch provided  
Speaker: **Amber Schroader**  
1:30-3:00 Digital Forensics  
3:00-3:15 *Break*  
3:15-4:25 Digital Forensics  
4:25-4:45 Seminar Closing Remarks

### Location

**Seminar** Scott Conference Center  
6450 Pine St., Omaha, Neb.

**Hotel** Residence Inn Omaha  
Aksarben Village  
1717 S. 67th St.  
Omaha, NE 68106

\$115/night

**Book by April 28** to get the  
ACFE-Heartland Chapter  
Annual Seminar group rate.

Book your group rate for ACFE Heartland  
Chapter online by clicking [here](#) or call  
(402) 551-8000.



Association of Certified Fraud Examiners

### Heartland Chapter

The Heartland ACFE chapter is bringing you a "global conference" locally for a fraction of the price. The two-day seminar offers 16 continuing professional education credits, including 6 for Specialized Knowledge in Investigation Techniques, 4 for Computer Science/Investigative Techniques, 3 for Ethics, 2 for Legal and 1 for Auditing from nationally accredited speakers.

Heartland ACFE is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.learningmarket.org/](http://www.learningmarket.org/) Note: This requirement is addressed in Standard for CPE Program Sponsors, Standard No. 9.

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**Register 5 Members and the 6<sup>th</sup> is free!**

Registration deadline is May 7, 2018.

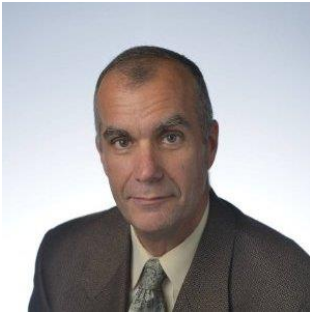
To access the member rate for the conference, you will need to have a current 2018 membership. Join or renew your membership [here](#). If you would like to renew your group membership and register that same group for the meeting, please contact [treasurer@heartlandacfe.com](mailto:treasurer@heartlandacfe.com).

Instructional delivery method: Group Live Offering  
No advance preparation needed by attendees.

Your seminar fee includes:

- Outstanding instruction from quality presenters
- Networking opportunities with fraud professionals
- Continental breakfast
- Buffet lunch
- Refreshments
- Souvenir item for each attendee
- Door prizes

Dress for the conference is business casual. Videotaping, recording or other transmission of the seminar is not permitted.



**MONDAY**

## **Thomas A. Caulfield, CIG, CIGI, CFE**

Tom Caulfield is the Chief Operating Officer, of Procurement Integrity Consulting Services, LLC, a woman-owned small business that assist clients in driving a business environment committed to honest, fair, impartial and legal procurements (i.e. procurement integrity). Caulfield is the co-designer of the PICS Procurement Integrity Control System®, a proprietary internal control model designed to mitigate the inherent risk to procurement fraud and abuse, thus safeguarding organizational reputation; reducing financial losses; minimizing opportunities for contract termination or litigation; and achieving competitive advantage. Procurement Integrity Consulting Services also performs procurement fraud risk assessments and available for customized training on the most prevalent procurement fraud and abuse schemes used in today's contracting environment along with the most successful proactive and reactive strategies against those same threats.

Prior to Procurement Integrity Consulting Services, Caulfield started his professional career in military service beginning in 1977 in the United States Marine Corps, retiring in 1998. His professional career continued as a civil servant in the United States Air Force, the Central Intelligence Agency, and the Council of the Inspectors General on Integrity and Efficiency (CIGIE). During his 38 years of government service, his assignments included a full range of responsibilities at both the senior executive and program management levels in law enforcement, criminal investigations, anti-fraud strategies, white-collar crime investigations, polygraph, counter-intelligence, internal oversight, and professional development and training.

He was a member of the Department of Justice National Procurement Fraud Task-Force and the architect to what became an amendment to the Federal Acquisition Regulation requiring mandatory disclosure by federal government contractors of certain violations of federal criminal law and the civil False Claims Act. He developed and managed the first Intelligence Community Procurement Integrity Assurance Program which focused on enhancing the integrity of classified procurements by preventing, deterring, and detecting procurement fraud and abuse. Caulfield is a frequent instructor at both domestic and international forums and has published and co-published several articles with his business partner Ms. Sheryl Steckler on subjects related to the anatomy of procurement fraud, procurement fraud schemes and the profile of the procurement fraudster. His material has been referenced in various federal reports and book publications. Some of the articles can be found at: <http://www.procurement-integrity.net/publications.html>. Caulfield currently holds various certifications including Certified Inspector General, Certified Inspector General Investigator, and Certified Fraud Examiner.

## **Presentation – Thomas A. Caulfield, CIG, CIGI, CFE**

Recommended Field of Study: 6 hours Specialized Knowledge in Investigation Techniques CPE and 2 hours Ethics CPE

Program Level: Intermediate

Prerequisites/Advance Preparation: Understanding of basic fraud examination techniques

Session I – Introduction and Legal Elements of Conflicts of Interest

Session II – Typical Conflict of Interest Situations

Session III – Investigation Methodology

Session IV – Document Collection, Organization and Analysis

Session V – Civil Actions and Civil Litigation

You will learn to:

- Implement investigative techniques for conflict of interest engagements
- Conduct the flow of conflict of interest investigations
- Deal with witnesses and the legal implications of these unique investigations
- Prepare witness statements, documents and electronic evidence
- Obtain appropriate evidence and preserve it to build a conflict of interest case



## TUESDAY MORNING

# David P. Weber, CFE

[David P. Weber](#) is the Academic Director of the University of Maryland Robert H. Smith School of Business graduate certificate programs in Fraud Management and Anti-Money Laundering Management, [an executive education partnership with the American Bankers Association](#). Recently, Weber served as the U.S. banking expert who assisted [journalists in reviewing information now known as the Panama and Paradise Papers](#), pertaining to hundreds of current and former world leaders.

Weber developed and teaches fraud and forensic investigation electives in Smith's [top-10 ranked Executive](#) and [Online](#) MBA programs, and the required ethical leadership course. He also teaches in Smith's [Master of Science in Accounting](#), and undergraduate programs. Weber also teaches a cross-cutting course on the intersection of organized and white collar crime as part of [UMD's number-one ranked criminal justice program](#), and in Winter 2017-2018, headed to the EU to meet with foreign accounting and financial standards regulators, and teach Smith's Accounting Abroad program.

A Certified Fraud Examiner, attorney, and registered private investigator, he previously served as the U.S. Securities and Exchange Commission's Assistant Inspector General for Investigations, the SEC's Chief Investigator.

In Spring 2016, Weber's undergraduate fraud courses received the distinguished teaching award, the second highest teaching award at the University of Maryland. In Spring 2017, Weber was nominated for the [American Accounting Association, Forensic Accounting Section](#), Best Teaching Innovation Award, for training students how to prepare real-life Reports of Investigation into subjects identified in the Panama Papers leak database.

In April 2017, [the Panama Papers investigation was awarded the Pulitzer Prize for Explanatory Reporting](#).

## TUESDAY MORNING

### Presentation – David P. Weber, CFE

Recommended Field of Study: 2 hours Legal CPE, 1 hour Auditing CPE & 1 hour Ethics CPE

Program Level: Basic/Intermediate

Prerequisites/Advance Preparation: None required

#### **A Prime Minister's Guide to Money Laundering: The Panama Papers and its application to CFEs and Accounting Professionals**

- FinCEN's response to the Panama and Paradise Papers, including the 2016 promulgation of the Enhanced Customer Due Diligence Rule ("Enhanced CDD"), which becomes effective in 2018, and the implications to financial services firms, including banks, broker dealers, real estate professionals, and others
- Professional Regulatory Authority responses to the Paradise Papers (including state bars, state boards of accountancy, and state real estate commissions)
- Industry reaction to the Panama and Paradise Papers;
- What private-sector fraud, risk and audit professionals must know about the Panama and Paradise Papers right away, including increased risks to clients and business from the continuing scandal
- What bank examiners and government investigators must take from the scandal, including obtaining access to the ICIJ's enhanced public database, in order to conduct effective fraud and money laundering compliance investigations



**TUESDAY AFTERNOON**

## **Amber Schroader**

Ms. Schroader is the Founder and CEO of Paraben Corporation as well as the chief architect responsible for the design of Paraben's extensive line of digital forensic solutions. As a pioneer in the field, Ms. Schroader has been key in developing new technology to help investigators with the extraction of digital evidence from hard drives, email, and handheld and mobile devices.

Throughout the past two decades Ms Schroader has been a driving force for innovation in digital forensics. Ms. Schroader has developed over two-dozen software programs designed for the purposes of recovering digital data from mobile phones, computer hard drives, email, and live monitoring services. Ms. Schroader has taught and designed the established protocols for the seizure and processing of digital evidence that have been used by numerous organizations throughout the world. Ms. Schroader has coined the concept of the "360-degree approach to digital forensics" as well as started the momentum and push to the "Forensics of Everything-FoE" with her focus to unique problems in digital evidence and solutions in the area of IoT devices. Ms. Schroader has been a huge industry influence in pushing for a big-picture consideration of the digital evidence and the acquisition process and analysis techniques used. An accomplished curriculum developer and instructor; Ms. Schroader has written and taught numerous classes for this specialized field as well as founded multiple certifications. Ms. Schroader continues support through book contributions and other industry speaking engagements.

**TUESDAY AFTERNOON**

### **Presentation – Amber Schroader**

Recommended Field of Study: 4 hours Computer Science/Investigative Techniques CPE

Program Level: Basic/Intermediate

Prerequisites/Advance Preparation: None required

#### **Mobile Device Forensics Start to Finish**

Everyone has a mobile device of some type from kids to older adults no one has escaped the draw of these devices. As a centerpiece of our digital lives the data they generate is not only valuable, but critical when it comes to any investigation. Understanding the proper steps for handling of these devices, types of data that can be captured, analysis of the Apps, and what they connect to are skills every examiner needs to know.